

A COMPARATIVE STUDY OF IBM, HONEYWELL,  
UNIVAC AND CONTROL DATA OPERATING SYSTEMS

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R I S O S

RESEARCH IN SECURED OPERATING SYSTEMS

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## INTRODUCTION

This study has a twofold purpose. The first is to present an overview of the large scale computers installed at DOD and AEC facilities. Many of these computers are operated under various types of security requirements and have operating systems designed to resist unauthorized use or control. The second purpose of this study is to supply as many facts as possible about the operating systems that predominate at DOD and AEC installations, with special reference to those aspects that particularly bear on operating system security. It can be seen from Table 1, a census of computers and their locations, that computers at DOD and AEC installations mainly come from four main sources: IBM, Univac, Control Data Corporation, and Honeywell Information Systems. In Tables 2, 3, and 4 operating systems of these four manufacturers are broken down and listed so that their characteristics can be compared. Specifically, the following four operating systems will be looked at in detail:

- a. IBM's OS/MVT for the 360/370 series
- b. Honeywell GCOS III
- c. UNIVAC EXEC-8
- d. Control Data Corporation's SCOPE 3.4

In Table 2 the basic parameters of these operating systems such as word length, size, SYSGEN times, etc. are listed and, where applicable, their derivation is explained.

Table 3 lists operating system characteristics of a more detailed nature. In this table, however, the characteristics are not only listed but are also explained or defined and basic differences between them are presented.

Table 4 compares the complete range of IBM supervisor calls (SVC's) with the equivalent operating system features of Honeywell, UNIVAC, and CDC. Supervisor calls are particularly significant from the point of view of operating system security because they are one of the most logical areas from which attempts to gain control of the system can be made.

TABLE 1. Census of Large Scale  
Computer Systems at DOD and AEC Facilities

Computer System	Number at DOD Facilities	Number at AEC Facilities
IBM 360/50	61	6
IBM 360/65	40	6
IBM 360/67	17	0
IBM 360/75	11	3
IBM 360/85	2	0
IBM 360/91	2	2
IBM 360/95	2	0
UNIVAC 1108	39	1
CDC 6000 series	8	20
CDC 7600	0	6
Honeywell 600 series	14	0
XDS Sigma 7	6	3
DEC PDP-10	0	14

Note: For purposes of this listing, a large scale computer is defined as being roughly equivalent to or larger than an IBM 360/50 in terms of speed, computing power, throughput, etc.

TABLE 2. Comparison of Basic Operating System Parameters

Operating System	Word Length	Instruction Length	Size of Resident OS	Size of Basic OS	Size of Total OS	SYSGEN TIME	
						Start	Stop
IBM CS-MVT	32 Bits	2,4 or 6 Bytes	150K-200K Bytes	NA	5 Million 32-Bit Words	15-30 Minutes	3-- Hours
Honeywell GCOS III	36 Bits	36-Bits	19K Words	461K Words	2.1 Million 36-Bit Words	5 Minutes	30 Minutes
UNIVAC EXEC-8	36 Bits	36 Bits	40-50K Words	330K Words	2 Million 36-Bit Words	15 Minutes	1 Hour
CDC SCOPE 3.4	60 Bits	15 or 30 Bits	16K-32K Words	NA	2.5 Million 60-Bit Words	NA	NA

LEGEND

NA-Information not available

Notes to Table 2

Methods of estimating operating system size. The estimate given for the size of IBM's OS/MVT was based on a count of the instructions in a microfiche deck of the complete system. This method was checked against an estimate of system size based on the amount of disk space it occupies at system generation time. The size estimates for the Honeywell UNIVAC, and CDC operating systems are based on the amount of disk space they occupy at system generation time. Note the following:

- a. IBM's 360/370 OS/MVT is contained on 3,000 microfiche cards. There are 45 frames per card. Assume that each card is 70% full (i.e. uses 30 frames per card) and that each frame contains about 45 instructions. Multiplying the three numbers we get a total of 4.2 million instructions. A method for cross-checking the total number of instructions is that OS/MVT occupies 75 percent of a 2314 pack containing a total of 21 million bytes. If the average instruction takes 4 bytes, and the system occupies 15.75 million bytes, the total number of instructions is 3.96 million.
- b. Honeywell GCOS III with timesharing, utilities, test routines, and library occupies 545 links on disk. Each link is 3840 words. With one instruction per word, GCOS III has about 2.1 million instructions.
- c. UNIVAC EXEC 8 with library and compilers occupies 400,000 words. Each word is 36 bits and each instruction is 36 bits long (i.e. one instruction per word). Thus EXEC 8 is composed of about 400,000 instructions.

d. CDC SCOPE 3.4 occupies 300 record blocks on a disc pack. Each record block contains 50 sectors and each sector contains 64 60-bit words. Thus SCOPE is composed of approximately 1 million 60-bit words. The CDC 6000 series machines have 15- or 30-bit instructions. Based on an estimated ratio of 15-bit to 30-bit instructions, the total number of instructions is about 2.5 million.

e. The core resident portion of the above operating systems depends on facility parameters. On the average, each operating system occupies about 32,000 words of core.

TABLE 3. Comparison of Detailed System Characteristics

System Characteristic	IBM OS/MVT	HONEYWELL GCOS III	UNIVAC EXEC-8	CDC SCOPE 3.4
Multiprogramming	yes	yes	yes	yes
Multiprocessing	yes	yes	yes	yes
Batch Processing	yes	yes	yes	yes
Time Sharing	<del>yes</del> NO	yes	<del>yes</del> NO	no
Remote Batch Processing	yes	yes	yes	yes
Real-time Processing	yes	yes	yes	no

Notes to Table 3

1. All of the following components are common to the above system:

a. System Startup: This is the process of initializing the operating system for normal processing. System initialization is achieved by loading a system-tailoring routine. This routine then processes system configuration information.

b. Scheduler: This module schedules job tasks into the system execution queue. Job tasks are placed in the queue after all resource requests are satisfied. Tasks are usually scheduled by priority and class.

c. Dispatcher: This module allocates CPU time to tasks queued for execution. Normally, the dispatching queue is arranged by priority. If the CPU is available, the dispatcher will remove the task from the queue and assign it to the CPU until such time as the task requires supervisor aid or terminates.

d. Peripheral Allocator: This module schedules and allocates all peripheral devices (drums, disks, tapes, etc.) requested by programs. This is done by keeping inventory tables of facilities available and facilities assigned.

e. Storage Allocator: This module is responsible for allocation of internal storage (core memory) to user tasks. Again, this is normally done by priority.

f. Interrupt Handlers: These modules provide interface (supervisor calls) between the user and the system. They also include modules which execute recovery action in the case of program or hardware faults.

g. IOS: The I/O Supervisor (IOS) is a set of modules which initiate I/O and respond to I/O termination. When an I/O request is issued, the IOS checks the channel and device for availability. If both are free, the I/O operation is initiated. If not, the request is placed on a channel or device queue. In addition, the IOS provides for I/O interrupt handling, translation of file codes to physical units, and file protection.

h. System Input and Output: This set of modules handles the input and output of user programs. When a job is entered into the system a group of modules associated with the input device will set up program files for the job. Similarly, the output modules supervise the transfer of output data from the output files.

i. File Manager: This set of modules controls the various data files within the system. File management functions are invoked to locate files, to permit or restrict user access to files, and to provide back-up and restoration services in case of file damage. Master directories or catalogs are maintained with cataloging controls available to the user.

j. Utilities and System Programs: These include library routines, compilers, assemblers, loaders, etc.

2. The following paragraphs summarize basic differences between operating systems in the categories of: I/O operations, supervisor programs, memory configuration, and storage protection:

a. I/O Operations For IBM, Honeywell, and UNIVAC, I/O commands are issued through the central processing unit in supervisor mode. Commands are executed by specialized I/O processors. For CDC, I/O commands originate and are executed through one of the peripheral processors.

b. Supervisor Programs For IBM Honeywell, and UNIVAC the supervisor is run on the central processing unit. For CDC, a good portion of the supervisor is run on Peripheral Processor 0 which sits as master control over all other processors.

c. Memory Configuration

IBM: 2048 bytes per block

Honeywell: 262,144 words per module, organized into blocks of 1024 words.

UNIVAC: 65,536 words per bank, with interleaving of even and odd words.

CDC: 4096 words per bank with phasing of 32 banks.

d. Storage Protection

IBM: Storage key for every 2048 bytes

Honeywell: Hardware register with field length control

CDC: Hardware register with field length control

UNIVAC: Storage-limits register containing upper and lower bounds of instructions and data.

Comparison of Supervisor Calls, Master Mode Entrys, and Executive Requests  
(Table 4)

General Information

IBM, Honeywell and UNIVAC. The first portion of Table 4 lists 118 IBM supervisor calls (SVC's) in numerical order and their equivalents in the Honeywell and UNIVAC systems. Included with each SVC is its description. Following this list is a list of IBM service and I/O macros along with their equivalents in the other systems. The remainder of the table consists of a listing of the Honeywell Master Mode Entrys (MME's) and UNIVAC Executive Requests (ER's) that do not correspond to any of the IBM SVC's. As with the other calls, descriptions are provided. Included in this listing is a compilation of UNIVAC subroutines and procedures and Honeywell service requests.

CDC. CDC is not included in this table of comparisons because the SCOPE operating system has a considerably different design from the other three systems. This difference stems from the fact that the CDC 6000 series machines are composed of eleven independent computers and, hence, need a much different type of operating system and service calls. CDC's SCOPE operating system has only five distinct calls. These are:

TIM	Return Time
END	Normal End
ABT	Abnormal End
RCL	Recall
CIO	I/O Request

The CIO call contains all the file management requests such as OPEN, CLOSE, READ and WRITE.

Table 4. Comparison of Supervisor Calls, Master Mode Entrys, and Executive Requests

NAME AND DESCRIPTION	Supervisor or Service Call Designation		
	IBM	HONEYWELL GCOS III	UNIVAC EXEC 8
CP - execute channel program	SVC 0		
WT - wait for an event	SVC 1	GERELC (M)	AWAIT\$
ST - signal event completion	SVC 2	GEFINI (M)	
ET - SVC routine exit (return from)	SVC 3		EXIT\$
VMMAIN - allocate storage w/o register	SVC 4	GEORE *(M), ADDMEM (D)	MCORE\$
FREEMAIN - frees storage	SVC 5	GERREL (M), RELMEM (D)	LCORE\$
TK - LOAD and transfer control	SVC 6	CALLSS (D)	RLINK\$, LINK\$
TL - transfer control to another load module	SVC 7	GECALL (M)	
LD - loads task, no control transfer	SVC 8		LOAD\$
LETE - relinquish control of load module	SVC 9	RETURN (D)	EXLINK\$, UNLINK\$
VMMAIN - register GETMAIN/FREEMAIN	SVC 10		
TE - provides date and time	SVC 11	GETIME (M), TIME (D)	DATE\$, TDATE\$,
WCH - synchronous exit, transfer from supervisor to user program	SVC 12		
TD - abnormally terminate a job	SVC 13	ABORT (D)	ABORT\$, EABT\$, ERR\$
IE - specify program interrupt exit, user's own fault processing	SVC 14		IALL\$

Table 4 (Continued)

NAME AND DESCRIPTION	Supervisor or Service Call Designation		
	IBM	HONEYWELL GCOS III	UNIVAC EXEC 6
ERREXCP - retry of channel program	SVC 15		
PURGE - removed specified I/O requests	SVC 16		
RESTORE - complement of PURGE	SVC 17		
BLDL/FIND - build list from information from a PDS directory/Establish beginning of a data set member	SVC 18		
OPEN - logically connect a data set	SVC 19		BOPEN\$, IHOPN
CLOSE - logically disconnect a data set	SVC 20	RETFIL (D)	BCLOF\$, IHCLR, IECLF BREL RELEASE, @CLOSE, BCLOR\$
STOW - update PDS directory	SVC 21		
OPENJ - a JFCB is supplied by user to be used during initialization (OPEN)	SVC 22		
TCLOSE - CLOSE but rewinds tape w/o updating the label	SVC 23		
DEVTYPE - locate device characteristics	SVC 24		
TRKBAI - track balancing	SVC 25		
CATALOG/INDEX/LOCATE - maintain the catalog and the VTOC	SVC 26		PFI\$, PFS\$
OBTAIN - get DSCB into main storage	SVC 27		
OPENEXT - open a catalog to extend it	SVC 28		
SCRATCH - delete a data set on direct access device	SVC 29	GERELS *(M)	PFD\$

Table 4 (Continued)

NAME AND DESCRIPTION	Supervisor or Service Call Designation		
	IBM	HONEYWELL GCOS III	UNIVAC EXEC 8
RENAME - change data set name	SVC 30		
FEOV - force end-of-volume condition	SVC 31		BBEOF\$
ALLOCATE - request space on I/O device	SVC 32	GEMORE *(M)	
I/O HALT - stop processing on a tele-processing device	SVC 33	DRLDSC (D)	
MGCR - master command processing (scheduling routine)	SVC 34		
WTO/WTOR - write to operator/write to operator with reply	SVC 35	CONSOL (D)**	COM\$
WTL - write to log	SVC 36		
SEGLD/SEGWT - segment load and segment load and wait (overlays)	SVC 37		
TROUWER - Testran facility	SVC 38		
LABEL - write volume label sets onto tape in either EBCDIC or ASCII	SVC 39		LABEL\$
EXTRACT - extract information from the task control block (TCB)	SVC 40	AITRI (D)	
IDENTIFY - establish another entry point to a task	SVC 41		
ATTACH - create a new task	SVC 42		ACT\$, FORK\$
CIRB - create interrupt request block	SVC 43	GENEWS (M)	
CEAP - change dispatching priority	SVC 44		
OVLYBRCH - transfer control to another overlay segment	SVC 45		

Table 4. (Continued)

NAME AND DESCRIPTION	Supervisor or Service Call Designation		
	IBM	HONEYWELL GCOS III	UNIVAC EXEC 8
TTIMER - test interval timer	SVC 46	GELAPS (M)	TWAIT\$
STIMER - set interval timer	SVC 47	GEWAKE (M), GWAKE (D)	
DEQ - release a serially reusable resource	SVC 48		
TTOPEX	SVC 49		
null	SVC 50		
SNAP - snapshot dump (dump and continue)	SVC 51	GESNAP (M)	SNAP\$
RESTART/SMB Reader-to help process check-point restarts and read SMBs	SVC 52		OPT\$
FELEP - release exclusive control after read under exclusive control	SVC 53		
DISABLE - lock out interrupts	SVC 54		@ENABLE
EOV - end-of-volume and end of data set condition, check error conditions	SVC 55		BMARK\$, @MARK
ENQ - request control of a serially reusable resource	SVC 56		
FREEDBUF - free dynamically obtained buffer (obtained by READ)	SVC 57		
REQBUF/RELBUF - access to dynamic buffer management	SVC 58		
OLTEP - provide on-line test system w/facility to system control code	SVC 59		
STAE/STAI - specify task abnormal exit return control to user after ABEND	SVC 60		

Table 4 (Continued)

NAME AND DESCRIPTION	Supervisor or Service Call Designation		
	IBM	HONEYWELL GCOS III	UNIVAC EXEC 8
TSAV - Used with Testran	SVC 61		
DETACH - deletes subtask (removes TCB)	SVC 62	GEBORT (M)	DACT\$
CHEPT - establish checkpoint for job step	SVC 63	GECHEK (M)	@CKPT, @RSTRT
RDJFCB - read job file control block from disk	SVC 64		
QWAIT - telecommunications WAIT	SVC 65		
BTAM TEST - telecommunications on-line test	SVC 66		
QPOST - telecommunications POST	SVC 67		
SYNADAF/SYNADRLS - analyze permanent I/O error/release SYNADAF buffer and save areas	SVC 68		
BSP - backspace current volume one block	SVC 69		
GSERV - graphics service	SVC 70		
ASGI BFR/RLSE BFR/BUFINQ - buffer processing and manipulation	SVC 71		
CHATR - status display interface, MCS, DIDOCS processor, 2740 processor	SVC 72		
SPAR - Specify attention. Used with GAM	SVC 73		
DAR - Damage assessment routine	SVC 74		
Dequeue routine used with GAM	SVC 75		
IFBSTAT - Statistics update	SVC 76		

Table 4 (Continued)

NAME AND DESCRIPTION	Supervisor or Service Call Designation		
	IBM	HONEYWELL GCOS III	UNIVAC EXEC 6
CHLE	SVC 77		
LSPACE - total space still available on volume	SVC 78		
STATUS - change subtask's dispatching status	SVC 79		
GJP/GFX - graphic job processor/graphics interface task	SVC 80		
SSPRP - load character set for UCS printer	SVC 81		
DISKAL	SVC 82		
SWAPM	SVC 83		
Restart Address Routine	SVC 84		
SWAP - Dynamic Device Reconfiguration Processor	SVC 85	GEFELS (M)	TSWAP\$
ATLAS - assign an alternate track and copy data from the defective track	SVC 86		
DCM - delete operator message from CRT	SVC 87		
MOD 83- emulator program	SVC 88		
EMSERV - emulator service	SVC 89		
EMMGR - job management	SVC 90		
TOLSTAT	SVC 91		
TOBEXTP	SVC 92		

Table 4 (Continued)

NAME AND DESCRIPTION	Supervisor or Service Call Designation		
	IBM	HONEYWELL GCOS III	UNIVAC EXEC
TGET/TPUT-obtain input from/transmit output to the terminal	SVC 93	GEROUT (M)	CMI\$/CMO\$
TERMCTL - terminal control	SVC 94		CMS\$, CMSA\$
TSIP - time-sharing processing routine	SVC 95		
STAX - specific time-sharing attention exit	SVC 96		
TEST (TSO) - breakpoint handler	SVC 97		
TSO PROTECT	SVC 98		
TSO Dynamic Allocation	SVC 99		
used by SUBMIT, OUTPUT, OPERATOR, AND CANCEL/STATUS Processors	SVC 100		
CHIP - provide interface between TSO subsystem and the MCP	SVC 101		
TCAM - telecommunications access method	SVC 102		CMD\$, CMH\$
XLATE - translation between ASCII and EBCDIC	SVC 103		
TCAM - telecommunications access method	SVC 104		CMT\$
LMGLIB - DEB and DCB manipulation for SYS1. LMGLIB (Image library)	SVC 105		
Type 3 and type 4 SVC routing routine	SVC 109		
Type 1 SVC routing routine	SVC 116		
Type 2 SVC routing routine	SVC 117		

Table 4 (Continued)

NAME AND DESCRIPTION	Supervisor or Service Call Designation		
	IBM	HONEYWELL GCOS III	UNIVAC EXEC 8
CHECK - wait for and test completion of a READ or WRITE operation	CHECK (macro)		WAIT\$, WAIT\$
NOTE - Provide relative position	NOTE (macro)		PFWL\$
POINT - position to a block	POINT (macro)		PFUWL\$, @FIND
GETBUF - obtain a buffer	GETBUF (macro)		CADD\$, CGET\$
GETPOOL - build a buffer pool	GETPOOL (macro)		CPOOL\$
FREEPOOL - release a buffer pool	FREEPOOL (macro)		CEEL\$
INCLUDE - include a load module into job step	INCLUDE (macro)		NAME\$, RLIST\$, IN
BDAM READ	READ (macro)		BRRED\$, IHRDRN, IO\$
BSAM and BPAM READ	READ (macro)	GERSTR (M)	BREAD\$, IO\$
QSAM and QISAM GET	GET (macro)		IHRD, IOW\$, READ\$
BDAM WRITE	WRITE (macro)		BWRRT\$, IHWTRN, IO\$
BSAM and BPAM WRITE	WRITE (macro)	GESAVE (M)	BWRIT\$, IO\$
QSAM and QISAM PUT	PUT (macro)		IHWRT, IOW\$, PRINT\$
PUTX - write record from an existing data set	PUTX (macro)		IHRN
Exit from an ESI activity, return specified buffers, activate previously named activity			ADACT\$
ASCII punch			APCHCA\$, APCHCN\$, APNCHA\$, APUNCH\$

Table 4 (Continued)

NAME AND DESCRIPTION	Supervisor or Service Call Designation		
	IBM	HONEYWELL GCOS III	UNIVAC EXEC 3
ASCII print			APRINT\$, APRINTAS\$, APPTCAL\$, APRTCN\$
ASCII read			AREAD\$, AREADA\$
Contingency mode termination-notify the executive that interrupt handling is completed			CEND\$
Expand buffer pool			CJOIN\$
Allows user to define his own set of control statements and register them with the exec.			CLIST\$
Retrieve condition word			COND\$
Control statements submitted for inter- pretation and processing during execution			CSF\$
Retrieve file assignment information		GEFCON (M)	FACIL\$, FACIT\$, FITEM\$
Permit unsolicited console input			II\$
Initiate arbitrary device I/O		GEINOS (M), DIO (D)	IOARB\$
Initiate arbitrary device I/O simulating an exit function and control return to program			IOAXI\$ IOIS\$
Initiate I/O with interrupt activity IOIS\$ and wait			IOWI\$

Table 4 (Continued)

NAME AND DESCRIPTION	Supervisor or Service Call Designation		
	IBM	HONEYWELL GCOS III	UNIVAC EXEC 8
Exit and IOIS			IOXIS
Retrieve master configuration table			MCT\$
Master file directory manipulation			MSCON\$
Terminate real time status			NRT\$
Program Control Table retrieval			PCT\$
Processor state word control	LPSW (instr)	GEREIS (M), GESETS (M) RSTSWH (D), SETSWH (D)	PSR\$
PUNCH			PNCHA\$, PUNCH\$
PRINT ALTERNATE & CONTROL			PRNTA\$, PRICA\$, PRCN\$
READ alternate			READA\$
Line terminal transfer - altering communications paths		CGROUT (D)	ROUTE\$
Establish real time status complement of NRT\$			RT\$
Set condition word			SETC\$
Retrieve time of day			TIME\$
Initialize tape file to beginning of first reel		REW (D)	TINTL\$
Print then read (Field data)		KOUTN (D)	TREAD\$

Table 4 (Continued)

NAME AND DESCRIPTION	Supervisor or Service Call Designation		
	IBM	HONEYWELL GCOS III	UNIVAC EXEC 8
Allow interrupt activity to reduce its priority			UNLCK\$
End courtesy call		GEENDC (M)	
Physical file address request		GEFADD (M)	
File System Entry Request		GEFSYE (M), FILACT (D)	
File and Record Control Entry		GEFRCE (M)	
Journalization and subfile page range		GEIDSE (M)	
Information entry outside of BAR limits		GEINFO (M)	
Load Base Register		GELBAR (M)	
Loop Protection		GELoop (M)	
I/O Priority		GEPRIO (M)	
Deallocate Peripherals		GERELS (M)	
Causes program to be taken out until <u>all</u> outstanding requests (I/O, courtesy calls) are completed		GEROAD (M)	
Reinstate or Roll back Program		GEROLL (M)	
Supply Sequence number		GESNUM (M), SNUMB (D)	
Special Interrupt Request		GESPEC (M)	
Write on Sysout		GESYOT (M)	
User - Supplied MME		GEUSER (M)	

Table 4 (Continued)

NAME AND DESCRIPTION	Supervisor or Service Call Designation		
	LBM	HONEYWELL GCOS III	UNIVAC EXEC 8
Enter Master Mode		.EMM (M)	
Abort batch job from TSS		ABTJOB (D)	
Access a small block of core the system maintains for each user		CORFIL (D)	
Allow time-sharing subsystem to access IDS file		IDS (D)	
Allow time-sharing task to obtain status of batch job		JSTS (D)	
Retrieve last line of input		KIN (D)	
Force Keyboard output from a partially-filled buffer		KOTNOW (D)	
Keyboard output from a buffer		KOUT (D)	
Object program time and size check		OBJTSM (D)	
Pass list of files to subsystem		PASAFT (D)	
Pass file names and descriptions		PASDES (D)	
Pass file to Remote Batch Processor		PASFLR (D)	
Pass program description to subsystem		PREDES (D)	
Simulated Keyboard Input		PSEUDO (D)	
Overlay-load a subsystem		RESOTR (D)	
Save program on permanent file		DRLSAV (D)	
Initiate line-numbering mode, store line number and increment value		SETLNO (D)	

Table 4 (Continued)

NAME AND DESCRIPTION	Supervisor or Service Call Designation		
	IBM	HONEYWELL GCOS III	UNIVAC EXEC 3
Pass file to batch processor		SPAWN (D)	
Stop paper Tape input		STOPPT (D)	
Cause subsystem to be killed		SYSRET (D)	
Start paper tape input		TAPEIN (D)	
Spawn Batch activity from TSS		TASK (D)	
Request Terminal type and line Number		TERMTP (D)	
Define and access a temporary file		DEFIL (D)	
Space a linked file		FILSP (D)	
Enlarge a file already opened		GROW (D)	
Add links to a temporary file		MORLNK (D)	
Partial Release of a temporary file		PART (D)	
Switch Temporary File Names		SWITCH (D)	
Do I/O on system file		PDIO (D) **	
Pass user ID and priority to Executive		USERID (D) **	
Log on New User without disconnect		NEWUSR (D) **	
Stop Execution of Master subsystem		STPSYS (D) **	
Write Statistical Collection File		T.STAT (D) **	

## LEGEND

\*This MME performs more than one function

\*\*These are privileged instructions

(M)-Master Mode Entries (Batch)

(D)-Derails (Time Sharing)

w/o-Without

## ABBREVIATIONS IN TABLE 4

DIDOCs - Device independent display operator console support

DSCB - data set control block

ER - Executive Request (UNIVAC)

ESI - externally set index (not set inside machine)

GAM - Graphics Access Method

JFCB - job file control block

MCS - Multiple Console Support

MCP - Master Control Program

MME - Master Mode Entry (Honeywell)

PDS - partitioned data set

SMB - contains JCL (job control language) information

SMF - System Management Facility

TCB - task control block

VTOC - volume table of contents

2740 Processor - performs OPEN and CLOSE functions (2740 Communications Terminal)

I. Checkpoint - RESTART:

This feature is not supported on IBM O/S or Univac EXEC-8 when running in the secure mode. The weak point in checkpoint is that system tables must be written out on a mass storage device. Upon restart the system must accept as fact the information and tables recovered from mass storage, thus any piece of information that will cause the operating system to do things it should not, can be modified to give the checkpoint program special privileges.

This is a problem in GCOS III, SCOPE 3.4, IBM O/S, EXEC-8

## II. Files and Catalogs

The protecting of files and catalogs from illegal users are a problem in all systems. In the CDC 7600 SCOPE Operating System, it is possible to open the master directory of all users by knowing the name of the master directory. In IBM OS, it is possible to open a VTOC as a file thus enabling a user to modify file entries in VTOC. The modification takes the form of altering passwords and file links.

In CDC 6000 SCOPE, the system lets the user decide if he wants control back, if the password was in error. This creates the possibility of modifying and issuing passwords with no time or count limits.

Master catalogs must be protected in a special manner. Catalogs must have greater protection than files.

### III. User/System Interface

#### A. Improper Parameter Checking

Because of the complexity of operating systems, the interface between user and system causes a multitude of combinations of parameter lists which are difficult to check.

For example, in IBM OS it is possible to make the system load a system overlay into an area not assigned to it. Because of hardware features and core allocation, it is possible to fool the operating system by creating phony tables and positioning them in the correct place.

#### B. Improper Exit

The operating system relies on a parameter accessible by the user to determine actions, branches, or exits.

For example, in CDC SCOPE 3.2 it is possible to hang up the system by setting the done flag in the Status Field of the Fet Table.

In GCOS III, it is possible to handle your own interrupts, and fork two addition processes.

In IBM OS, issuing a STOW request which does not have a valid entry will return a pointer of the next entry, which the user should not know about. The STAE and SPIE request also cause problems with user handling interrupts. If the user exits while waiting for an interrupt request undetermine results can occur.

#### IV. I/O Problems

Because the way to get the best throughput is to have asynchronous I/O, the I/O subsystem becomes vulnerable to I/O aborts and table filling.

Any system which has features to let users handle his own interrupts must not have asynchronous events with regards to this user.

In SCOPE 3.2 CDC 6000, it is possible to disturb the I/O because of timing delays created by different peripheral processes routine executing to satisfy one request.

In OS 360, the problem is the fact that all requests to the same device are queued through an I/O handler. If the program or request is destroyed or terminated while in this queue, underterminate results will occur.

In GCOS III, it is possible to scavenge the temporary buffer space which the system uses as a work area.

## V. Improper Overlay Handling

Both system and user overlays are accomplished by table look-ups. It becomes essential that the tables be secured from the user. The order of search of the libraries are important.

Routines that use pointer values, as either a jump location or entry into a routine, should check the pointer value for lower and upper bound conditions.

## VI. Assigning Authority to System Routines

Access methods or loaders or any other routines should not run with supervisor mode, if there is no need. This restriction does not apply to a CDC 6600 with its peripheral processors because the PPUs are independent. In this case, care should be exercised by controlling the programs allowed to run in the peripheral processor.

## VII. Priority of System Jobs

If the priority of certain system jobs in the system are incorrect, an asynchronous attack on that particular area of scheduling may produce data being read that should not have been read either out of memory or mass storage.

### VIII. Loads and Preloads

If the loader handles the libraries in a prescribed manner, it is possible to insert a look alike module name to be found in a private library instead of being found in its correct library.

An example of this, is the overlay loader which searches the userlib for a system overlay supervisor before looking for it in the system lib.

IX. Default Conditions and Names

Default conditions and names should not be used to short cut a check. All conditions should be validated and checked.

## X. Queueing of Tables

System tables and queues should be checked to determine the end conditions to queues.

XI. Collusion

The using of two or more programs or users to bring about any of the above conditions.

XII. Trojan Horse